PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/668,723 Application Number **TRANSMITTAL** September 22, 2003 Filing Date **FORM** Lawrence C. Smith, et al. First Named Inventor (to be used for all correspondence after initial filing) Art Unit Unassigned **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 2002B133/2 **ENCLOSURES** (check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group Amendment / Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Other Enclosure(s) (please **Extension of Time Request** Terminal Disclaimer identify below): Postcard, Form 1449 and 4 References Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) \_\_\_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Leandro Arechederra Registration No. 52,457 Individual name Signature Date January 23, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

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Signature Date January 23, 2004

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)  Attny. Docket No. 2002B133/2					
An Re Application of: Smith et al.					
U.S. Serial No.	Filing Date	Examiner	Group Art Unit		

Unassigned

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Address to:
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P.O. Box 1450
Alexandria, VA 22313-1450

**September 22, 2003** 

# 37 CFR § 1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

### 37 CFR § 1.97(c)

The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

- 1. a Final Action under § 1.113,
- 2. a Notice of Allowance under § 1.311, or
- 3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or
the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

## 37 CFR § 1.97(d)

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.				
☐ Legible copies of the references are enclosed	d.			
☐ This application is a: ☐ Continuation / Divisional, ☐ Continuation-in-Part,				
of U.S.S.N Copies of the cited references are				
enclosed; not enclosed. References are available in the parent application(s) if not enclosed.				
The Commissioner is hereby authorized to charg by this paper, or credit any overpayment, to Dep copy of this form is enclosed.				
January 23, 2004	Lau Elmidt			
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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. SERIAL NO.
2002B133/2 10/668,723

APPLICANT

(Use several sheets if necessary)

Smith et al.

FILING DATE GROUP

September 22, 2003 Unassigned

### U.S. PATENT DOCUMENTS DOCUMENT FILING DATE \*EXAMINER IF APPROPRIATE **SUBCLASS** INITIAL NUMBER DATE NAME CLASS Calvert et al. AA 3,779,712 12/18/73 23 288 05/19/81 Cole 266 265 AΒ 4,268,017 04/29/86 4,585,644 Divis et ai. 423 450° AC. AD 5,326,855 07/05/94 Kahn 528 498 ΑE 6,051,631 04/18/00 Hottovy 523 348 Agapiou et al. 88 AF 6,245,868 06/21/01 526 AG 6,346,584 02/12/02 Wenzel et al. 526 82 AΗ 6,365,695 04/02/02 Ramamurthy et al. 526 352 04/09/02 ΑI 6,368,999 Speca 502 402 ΑJ 6,391,819 05/21/02 Agapiou et al. 502 170 AK 2001/0020072 09/06/01 526 901 Agapiou et al. FOREIGN PATENT DOCUMENTS DOCUMENT PUBL. TRANSLATION NUMBER **SUBCLASS** DATE COUNTRY CLASS YES NO AL 39/00 C07B WO 02/06188 24/01/02 **PCT** AM B01H 1/40 CH 425 733 26/12/63 **SWITZERLAND** C08F 6/00 AN EP 0 646 603 26/09/94 **EUROPE** AO B01J 8/00 04/06/97 EP 0 776 691 **EUROPE** OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

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